



JAMES "KIRK" BARRY II, CCCE



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OVERVIEW

I have started and scaled 6 companies, I have broad experience at the executive level, and I find that collaborative efforts yield incredible results. I have deep experience in risk management and compliance, and I am the lead architect, crafting a cannabis compliance solution for a financial institution, in addition to working with other FI's in their programs. My current focus is the medical field and cannabis sector with risk management and compliance solutions. I appreciate the challenges that come with managing risk and developing effective control activities and assurances to address risk and execute on risk appetites and tolerances.

EXPERIENCE

Jul, 2020-Present

Education Board Advisory for "ACCCE"

Jan, 2018 – Present

Chief Executive Officer / Creator of Clarion Compliance and Chief of Compliance for Harbour, an FI in the cannabis sector

Created and implemented a compliance program that consists of real-time auditing for the cannabis industry and chief of compliance for a tokenized financial institution serving the cannabis sector

Jan, 2015–2020

Chief Executive Officer of Verdantis Advisors

Created and implemented a consulting firm in the field of Cannabis and ancillary businesses in California including finding, assisting with approval, assisted with obtaining licensing and ensuring compliance for cannabis operators.

Apr, 2011 to Jun, 2017

Chief Executive Officer of Eye Deal Health

Developed and Executed the Alternative Health Care Plan and ran daily operations of the company. Revamped research including plan membership and membership numbers.

Oct, 2009 - Jan, 2017

Cannabis Operations

Set up and operated outdoor, mixed light, and indoor cultivations. Co-creator of an edible line, and creator of BudWise Labs

2004-2007

President of Safe Haven Security Systems and Alarms

Oversee the company including processes, sales, operations, and installations

Jun, 1990 – Mar, 2013

Founder/Chief Scientist Barry Investigations, Inc.

Created a business as an Accident Reconstruction Expert with growth to 450 clients, 5 full-time employees, and 1.2m billable hours per year. As an expert, testified in California Superior Courts over 350 times, given 450+ depositions, and worked over 8,500 cases

Oct, 1978 – Oct 1995

Police Officer and Level 1 Reserve

1 Year as a level 1 reserve for Folsom Police Department (California) and 15 years as a police officer for Roseville Police Department (California)

Nov,1978-Jan 1980 Retail Loss Prevention Officer

Loss prevention officer for National retail chain. Internal loss investigations, customer theft, interviewing and corporate reporting

EDUCATION

- 1-2022 Remote Audit- ISO 19011, ISO 9001, GMP, FDA guidelines
- 11-2021 Internal Audit- A Guide For Management
- 11-2021 Supply Chain Risk Management
- 10-2021 Mastering HPLC Techniques
- 10-2021 AML Compliance And Suspicious Activity Reports
- 10-2021 Lead Auditor Tools For A Professional Internal Audit
- 6-7/2021 AML Masterclass; Raw Compliance; 12 CEU's
- 7-7-21 HPLC/Spectroscopy training; Orange Photonics
- 5/2021 Why AI is Stupid; Boardwise, webinar
- 4/2021 AI and Transaction Monitoring; Raw Compliance; webinar
- 4/2021 3 Lines of Implied Consent; 3LoD- An analysis of the first 3LoD model and the new 3LoD model; Raw Compliance; webinar
- 2/2021 Compliance Management Best Practices, Putting the Risk Assessment to Work For You; ACCCE; webinar
- 2/2021 Cannabis Risk Management Framework, Introduction to Risk Culture; ACCCE; webinar
- 2/2021 Introduction to Compliance Management, Establishing the Culture of Compliance; ACCCE; webinar
- 1/2021 Using Track and Trace Data to Identify Unusual Activity; ACCCE; webinar
- 12/2020 Intro to Track and Trace; ACCCE; webinar
- 11/2020 Cannabis Banking in the Boardroom, Risk Management; ACCCE; webinar
- 9/2020 Breakdown of Commercial Cannabis Industry, Ask a CRB Operator; ACCCE; webinar
- 8/2020 Five High Risk Areas of Banking Cannabis; ACCCE; webinar
- 7/2020 Certification as a cannabis compliance expert through the Association of Certified Cannabis Compliance Experts (ACCCE)
- 2016 University course work in PTSD recognition and counseling with an emphasis in military first responders.

- 2014 – 2016 Cannabis testing education and procedures from Colorado and Oregon along with edible infusion, manufacturing, packaging, roll-on and capsule manufacturing and dosage.
- 2009- 2014 Cultivation and bulk concentrate education and production. Scientific analysis and testing in cultivation techniques.
- 1984 – 2012 University level course work in physics and engineering relating to scientific testing, analysis, and presentations to juries in the California and Nevada criminal and civil courts. Over 250 Units of University-level course work in physics and collision reconstruction.

PUBLICATIONS

Barry, K; “A case study regarding the processes for the selection of financial services vendors in the cannabis sector” ; February 2022

Barry, K; “Addressing the risk of robbery at a cannabis dispensary and the processes for evaluating solutions” ; February 2022

Barry, K; “The Validity of Grams Per Watt As An Effective Control Activity And Red Flag”
February 2022

Barry, K; “The Reliability Of Using Cash Transportation Services For Today’s Cannabis Business”
February 2022

Barry, K; “Effective tools for the challenges of Inversion and Diversion”; Association of Certified Cannabis Compliance Experts, Oct 2020

Barry, K; “Not all Crime is Organized- Classifying the Illicit Cannabis Market”; Association of Certified Cannabis Compliance Experts, Dec 2020

WEBINARS

Cannabis Banking Due Diligence- Deep Dive-US; Association of Certified Cannabis Compliance Expert, Oct, 2020

Financial Crimes Interview with Dr. Ian Messenger; Seneca College, 2020