

PRE-TEST QUESTIONS

1. **How does ACCCE support the professional development of those in the commercial cannabis industry?**
 - A. By providing a job placement service
 - B. By providing scholarships in the commercial cannabis industry
 - C. By pairing members with mentors in the field of commercial cannabis
 - D. By providing high-quality, industry-relevant education and benefits for its members

2. **Which statement best describes the relationship between the risk officer and the board of directors?**
 - A. The risk officer should have a direct line of communication to the board to regularly apprise them of risk issues
 - B. The risk officer should rarely communicate directly with the board to ensure the board does not influence the risk officer's decisions and allow the CEO to communicate directly with the board instead
 - C. The risk officer should be a member of the board
 - D. The risk officer should supply information to the board only when the board requests it

3. **Who should the complaints process be open to? (Select all that apply)**
 - A. Employees
 - B. Third parties
 - C. Vendors
 - D. Customers

4. **Your dispensary has a safe on-site and an ATM for customers. The dispensary also conducts on-site cash handling. The dispensary has been informed by local businesses that a local counterfeit ring has started to pass bad bills. Which risk areas and drivers should you address based on this information?**
 - A. Organized crime risk area and geographic locations risk driver
 - B. Money laundering risk area and geographic locations risk driver
 - C. Money laundering risk area and third parties, customers, and employees risk driver
 - D. Organized crime risk area and third parties, customers, and employees risk driver

5. **A marijuana cannabis transporter may offer the following transportation services: (Select all that apply)**
- A. Individual to individual
 - B. Business to business
 - C. Business to individual
 - D. Business to government
6. **Which of the following is the purpose of the track and trace framework? (Select all that apply)**
- A. Reduce product diversion and inversion
 - B. Identify vendors that don't have strong control activities
 - C. Provide cannabis testing results
 - D. Reduce public harm
7. **Audits are generally performed as part of which component of the CRMF?**
- A. Control activities
 - B. Information and communication
 - C. Insurance
 - D. Assurance
8. **The integration of business objectives with risk management techniques is known as _____.**
- A. Risk strategy
 - B. Risk tolerance
 - C. Risk assessment
 - D. Risk objectives
9. **Which of the following risk areas deals with managing the flow of goods and services, including all internal and external processes, that transform raw materials into final products?**
- A. Operational risk
 - B. Organized crime risk
 - C. Compliance risk
 - D. Supply chain risk
10. **Substantiated complaints from the public, recognized operational abnormalities by employees, and identified deficiencies by regulators or auditors are all types of _____.**
- A. Strategic abnormalities
 - B. Supply chain disruptions
 - C. Identified concerns
 - D. Jurisdiction issues

Pre-Test Answers

1. D
2. A
3. A|B|C|D
4. B
5. B|C|
6. A|D
7. D
8. A
9. D
10. C

